

6.0 Test Results Tracking & Follow-up

Goal: Practice uses a standardized tracking system to ensure needed tests are received, results are communicated in a timely manner, and follow-up care is received

9 total capabilities; 1 retired.
All capabilities applicable to: Adult and Peds patients

Applicable to PCPs and specialists.

Provider ordering the test is responsible for following up to clearly communicate information about test orders and test results to partner provider, or to patient when indicated. When specialist recommends tests for co-managed patient, ordering PCP is responsible for all follow-up and for clearly communicating test orders and test results to partner provider.

6.1

Practice has test tracking process/procedure documented, which requires tracking and follow-up for all tests and test results, with identified timeframes for notifying patients of results

PCP and Specialist Guidelines:

- a. Test tracking procedure must be in writing and identify all steps in process and timeframes
- b. Procedure document must be reviewed and updated as needed annually

Required for PCMH Designation: NO	Predicate Logic: n/a
PCMH Validation Notes for Site Visits	
• Documented process required that includes time frames for notification.	

6.2 - Required

Systematic approach and identified timeframes are in place for ensuring patients receive needed tests and practice obtains results

PCP and Specialist Guidelines:

- a. Follow-up occurs with patients to ensure necessary tests are performed
- b. Communication processes are in place with testing entities as necessary, to ensure results are received
- c. Results are reviewed, signed, and dated by the physician and noted in the patient's medical record

Required for PCMH Designation: YES	Predicate Logic: n/a
PCMH Validation Notes for Site Visits	
• Demo the process of identifying follow-up for necessary test.	

6.3

Process is in place for ensuring patient contact details are kept up to date

PCP and Specialist Guidelines:

RETIRED

- a. Patients are asked at every visit to confirm that address and phone numbers are current

6.4

Mechanism is in place for patients to obtain information about normal tests

PCP and Specialist Guidelines:

- a. Patients are informed about how to access normal test results
- b. Process may use any of the following mechanisms:
 - i. Patient phone call to specific phone number at practice, with instructions to patient on when to call
 - ii. Phone call, text, or other secured messaging from practice to patient
 - iii. Mail from practice
 - iv. Direct conversation with patient
 - v. Patient access via secure web portal (in conjunction with one of the above options for patients without internet access)
 - vi. Telling patients that “No news is good news” does not meet the intent of this capability. Patients must have clear understanding of how to obtain information about normal test results.

Required for PCMH Designation: NO	Predicate Logic: n/a
PCMH Validation Notes for Site Visits	
<ul style="list-style-type: none"> • Discussion of how patients are notified (phone, mail, email, portal). • Demo with an example letter sent to patient and documentation in Patient Chart/Registry/EHR. If done through patient portal, practice will walk through the process of how info is transmitted from paper to portal. 	

6.5 - Required

Systematic approach is used to inform patients about all abnormal test results

PCP and Specialist Guidelines:

- a. Systematic approach is in place to flag as high priority results where follow-up is essential and the risk of not following up is high, i.e., tissue biopsies, diagnostic mammograms, INR tests
- b. For high priority results, patient is contacted by phone (repeated attempts at different times of day, on different days if necessary; if necessary and acceptable to patient, email or patient portal may be used to request the patient call office; as a last resort, results may be sent by registered mail)
 - i. For low priority results, such as minor lab abnormalities, contact may also be by letter
- c. Systematic approach is in place to ensure that practice is aware of and communicates to patients about all abnormal test results for all patients, in a timely manner, and that patient communication process is clear and patients understand implications of test results

Required for PCMH Designation: YES	Predicate Logic: n/a
PCMH Validation Notes for Site Visits	
<ul style="list-style-type: none"> • Discussion with clinical staff about how patients are notified (phone, mail, email, portal). • Demo with an example letter sent to patient and documentation in Patient Chart/Registry/EHR. If done through patient portal, practice will walk through the process of how info is transmitted from paper to portal. 	

6.6

Systematic approach is used to communicate with patients with abnormal results regarding receiving the recommended follow-up care within defined timeframes.

PCP and Specialist Guidelines:

- a. Patients requiring follow-up are flagged and follow-up timeframes are specified
 - i. Provider makes at least 2 attempts to contact patient; for serious conditions, third attempt is made by certified mail
 - Communication attempts are documented in patient’s medical record
- b. Cancellations and no-show appointments are tracked and assessed to determine whether any patients require follow-up
- c. Outcomes of follow-up action are filed in patient’s medical record

Required for PCMH Designation: NO	Predicate Logic: 6.5
PCMH Validation Notes for Site Visits	
<ul style="list-style-type: none"> • Demo follow-up process for patients including those that cancel or no-show, and documentation in EMR/Registry of at least 2 attempts to contact patient, third by certified mail 	

6.7

Systematic approach is used to document all test tracking steps in the patient’s medical record

PCP and Specialist Guidelines:

- a. All phone calls, letters, and other communications with patient regarding testing and test results are documented in the patient’s medical record

Required for PCMH Designation: NO	Predicate Logic: n/a
PCMH Validation Notes for Site Visits	
<ul style="list-style-type: none"> • Example of patient’s chart with all steps tied to test-tracking documented: tests ordered, results received, results reviewed, and patient outreach 	

6.8

All clinicians and appropriate office staff are trained to ensure adherence to the test-tracking procedures; all training is documented either in personnel file or in training logs or records

PCP and Specialist Guidelines:

- a. Practice unit or PO maintains record of training and can provide training content for review
- b. Training occurs at time of hire for new staff, and is repeated at least annually for all staff

Required for PCMH Designation: NO	Predicate Logic: n/a
PCMH Validation Notes for Site Visits	
<ul style="list-style-type: none">• Documentation required• Staff training on test tracking, reviewed annually• A copy of the documented training content and sign in sheet	

6.9

Practice has Computerized Order Entry integrated with automated test tracking system

PCP and Specialist Guidelines:

- a. Test-tracking system has Computerized Order Entry system structured to log all test orders and is linked to automated tracking system that supports caregiver follow-up
- b. Test tracking system has the ability to electronically receive and track results

Required for PCMH Designation: NO	Predicate Logic: n/a
PCMH Validation Notes for Site Visits	
<ul style="list-style-type: none">• Clinical staff demos using their registry or EHR. How are tests ordered through the system and how do results automatically feed back into the system?	

